

**SYLLABUS**  
**Fall semester 2024-2025 academic year**  
**Educational program "7M04226 Law"**  
**1 course, English group**

ID and name of the discipline	Student's independent work (SIW)	Number of credits			Total number of credits	Student's independent work under the guidance of a teacher (SIWT)
		Lectures (L)	Practical classes (PC)	Laboratory classes (LC)		
93906 Problems of the theory of financial law	4	3	1	-	4	6
ACADEMIC INFORMATION ABOUT THE DISCIPLINE						
Learning Format	Cycle, component	Type of lectures	Type of practical classes	Form and platform of final exam		
Offline	Basic elective component	classic review, analytical lecture	logical tasks, discussions, crossword puzzles, test tasks	Test in IS Univer		
<b>Lecturer - (s)</b>	Toktybekov Temir Abikenovich					
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ACADEMIC PRESENTATION OF THE DISCIPLINE						
Purpose of the discipline	Expected Learning Outcomes (LO)*			Indicators of achievement LO (IA)		
The purpose of the discipline is to study the basics of the theory of financial law, the legal aspects of financial activity and the financial system of the state.	1. Declaration of assets and liabilities in 2024			1.1 What must be stated in the declaration?		
				1.2 Who will submit an "input" declaration of assets and liabilities in 2024		
	1. Disclose the content, problems and ways of improving the financial and legal activities of the modern state			2.1 Analyzes the concept and elements of the monetary system.		
				2.2 Studies the features of the monetary system of the Republic of Kazakhstan.		
				2.3 Analyzes the legal basis of currency regulation.		
				2.4 Applies currency legislation in practice.		
	2. Outline the main approaches to obtaining and assessing legal knowledge about the main institutions of financial law.			3.1 Justifies the system and powers of the bodies exercising management in the field of public finance.		
				3.2 Uses financial planning, broken down by the basics and stages of legal regulation.		
				3.3 Distinguishes the concept and elements of financial control and explain the powers of the bodies exercising financial control.		
	4. Use the methods of conducting independent research in the field of financial law.			4.1 Assesses the legal foundations of the budget system. Conduct an analysis of the budgetary legislation of the Republic of Kazakhstan.		
				4.2 Analyzes the concept and subject of tax law. Knows the types of taxes.		

		4.3 Understands the banking system of the Republic of Kazakhstan. They can analyze the banking legislation of the Republic of Kazakhstan. Knows the powers of the National Bank of the Republic of Kazakhstan.
		4.4 Understands the subject and content of insurance law. Differentiation of types of insurance.
	5. Demonstrate skills and abilities to solve legal problems and issues arising out in the financial sphere.	5.1 Demonstrates skills related to the conditions and procedures for state borrowing and lending.
		5.2 Shows skills to resolve disputes arising in the financial sector.
		5.3 Applies the experience of foreign countries in the field of regulation of financial relations.
		5.4 International connection. Analysis of the financial system of foreign states. They can assess the possibility of applying the positive experience of foreign countries in the differentiation of financial disputes.
<b>Prerequisites</b>	9068 Theory of state and law; 63970 Constitutional law; 2774 Administrative law; 68761 Financial Law	
<b>Postrequisites</b>		
<b>Learning Resources</b>	<p><b>References:</b>  <b>Main:</b>  1. Universal declaration, Proskurina V.P. Denisenko M.V. Almaty Lem 2024  2. Saktaganova I.S. Kazakhstan Republicsynyn karzhy ққыgy. Zhalpy zhane erekshe bolim. Okulyk / Saktaganova I.S. - Almaty: "Evero" basses, 2016. - 256 pages.  3. Saktaganova I.S. Kazakhstan Republicsynyn karzhy ққыgy. Kazustyk tehnologiyasy boyinsha. Oku kuraly / I.S. Saktaganova. - Almaty: "Epigraph" bassas, 2016. - 390 pages.  4. Kuanaliyeva G.A. Karzhy ққыgy: oku қралы / G.A. Kuanaliyeva. - Almaty: Kazakh University, 2017. - 162 pages.  5. Financial law of the Republic of Kazakhstan: textbook. allowance / Ed. A.E. Zhatkanbayeva. - Almaty, 2018. - 270 pages.  <b>Additional:</b>  6. Financial law: textbook and workshop for universities / G. F. Ruchkina [and others]; edited by G. F. Ruchkina. - 2nd ed., revised. and additional - Moscow: Yurayt Publishing House, 2023. - 361 pages.  7. Ashmarina E.M. Financial right. Textbook for open source software. Ed. 3, trans. and additional - 2023. - 370 pages.  8. Boltinova O. V. Budget law. Publisher: Norma Legal Publishing House, 2023. - 260 pages.  9. Financial law of the Republic of Kazakhstan: textbook / N.R. Veselskaya, M.T. Kakimzhanov. - Almaty: 2015. - 312 pages.</p> <p><b>Internet-recourses</b>  1. <a href="https://www.gov.kz/memleket/entities/kgd-almaty/press/news/details/705454?lang=ru">https://www.gov.kz/memleket/entities/kgd-almaty/press/news/details/705454?lang=ru</a>  2. <a href="https://bestprofi.com/business-law?0">https://bestprofi.com/business-law?0</a>  3. <a href="https://adilet.zan.kz/rus/">https://adilet.zan.kz/rus/</a>  4. <a href="https://online.zakon.kz/lawyer">https://online.zakon.kz/lawyer</a></p>	

<p><b>Academic discipline policy</b></p>	<p>The academic policy of the discipline is determined by the Academic Policy and the Policy of Academic Integrity of KazNU Al-Farabi. Documents are available on the main page of IS Univer.</p> <p><b>Integration of science and education.</b> The research work of students, undergraduates, doctoral students is a deepening of the educational process. It is organized directly at the departments, laboratories, scientific and design departments of the university, in student scientific and technical associations. Independent work of students at all levels of education is aimed at developing research skills and competencies based on obtaining new knowledge using modern research and information technologies. A research university teacher integrates the results of scientific activities into the topics of lectures and seminars (practical) classes, laboratory classes and into tasks of the SIWT, SIW, which are reflected in the syllabus and are responsible for the relevance of the topics of training sessions and tasks.</p> <p><b>Attendance.</b> The deadline for each task is indicated in the calendar (schedule) for the implementation of the content of the discipline. Failure to meet deadlines results in loss of points.</p> <p><b>Academic honesty.</b> Practical/laboratory classes, SIW develop the student's independence, critical thinking, and creativity. Plagiarism, forgery, the use of cheat sheets, cheating at all stages of completing assignments are unacceptable. Compliance with academic honesty during the period of theoretical training and at exams, in addition to the main policies, is regulated by the "Rules for the final control", "Instructions for the final control of the autumn / spring semester of the current academic year", "Regulations on checking text documents of students for the presence of borrowings."</p> <p>Documents are available on the main page of IS Univer.</p> <p><b>Basic principles of inclusive education.</b> The educational environment of the university is conceived as a safe place where there is always support and equal attitude from the side of the teacher to all students and students to each other, regardless of gender, race / ethnicity, religious beliefs, socio-economic status, physical health of the student, etc. All people need the support and friendship of peers and fellow students. For all students, progress is more about what they can do than what they can't. Diversity enhances all aspects of life. All students, especially those with disabilities, can receive advisory assistance by phone 8 777 206 0001 toktybekov.prok@mail.ru <a href="https://us04web.zoom.us/j/4175819644?pwd=UWFtS0hicFVick5vaE5WSy83WUVxZz09">https://us04web.zoom.us/j/4175819644?pwd=UWFtS0hicFVick5vaE5WSy83WUVxZz09</a> <a href="https://teams.live.com/l/invite/FEAOhRY5m0bHxAnbQE">https://teams.live.com/l/invite/FEAOhRY5m0bHxAnbQE</a></p> <p><b>MOOC integration (massive open online course).</b> If MOOC is integrated into the discipline, all students must register for MOOC. The deadlines for passing MOOC modules must be strictly observed in accordance with the schedule for studying the discipline.</p> <p><b>ATTENTION!</b> The deadline for each task is indicated in the calendar (schedule) for the implementation of the content of the discipline, as well as in the MOOC. Failure to meet deadlines results in loss of points.</p>
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**INFORMATION ABOUT TEACHING, LEARNING AND ASSESSMENT**

Score-rating letter system of assessment of accounting for educational achievements				Assessment Methods
Grade	Digital equivalent points	points, % content	Assessment according to the traditional system	<p><b>Criteria-based assessment</b> is the process of correlating actual learning outcomes with expected learning outcomes based on clearly defined criteria. Based on formative and summative assessment.</p> <p><b>Formative assessment</b> is a type of assessment that is carried out in the course of daily learning activities. It is the current measure of progress. Provides an operational relationship between the student and the teacher. It allows you to determine the capabilities of the student, identify difficulties, help achieve the best results, timely correct the educational process for the teacher. The performance of tasks, the activity of work in the classroom during lectures, seminars, practical exercises (discussions, quizzes, debates, round tables, laboratory work, etc.) are evaluated. Acquired knowledge and competencies are assessed.</p> <p><b>Summative assessment</b> is a type of assessment that is carried out upon completion of the study of the section in accordance with the program of the discipline. Conducted 3-4 times per semester when performing SIW. This is the</p>
A	4,0	95-100	Excellent	
A-	3,67	90-94		
B+	3,33	85-89	Good	

				assessment of mastering the expected learning outcomes in relation to the descriptors. Allows you to determine and fix the level of mastering the discipline for a certain period. Learning outcomes are evaluated.	
B	3,0	80-84	Satisfactory	<b>Formative and summative assessment</b>	
B-	2,67	75-79		Activity at lectures	
C+	2,33	70-74		Work in practical classes	30
C	2,0	65-69		Independent work	30
C-	1,67	60-64		Design and creative activity	0
D+		55-59		Final exam	40
D		50-54	Unsatisfactory	TOTAL	100
FX	0,5	25-49			
F	0	0-24			

**Calendar (schedule) of the implementation of the content of the discipline. Methods of teaching and learning.**

Week	Name of Topic	Num. of hours	Max. score
<b>MODULE 1 Financial activities of the government</b>			
1	<b>L 1.</b> The issues of the concept and general characteristics of the financial activities of the state. Methods and forms of financial activity.	1	
	<b>LC 1.</b> The issues of the concept of financial activity of the state. Financial system and analysis of its composition.	2	7
2	<b>L 2.</b> The issues of financial law as a branch of law. Place and features of financial law in the system of law.	1	
	<b>LC 2.</b> The issues of methods and system of financial law. Interrelation of the general and special parts of financial law.	2	7
	<b>SIWT 1. SIW 2</b> implementation advice.		
3	<b>L 3.</b> The issues of financial and legal norms and general characteristics of financial and legal relations.	1	
	<b>LC 3.</b> The issues of types of financial legal norms and their influence on the emergence, change and termination of financial and legal relations	2	7
	<b>SIW 1.</b> Topic: Specifics and modern problems of financial law as a branch of law (presentation).		25
4	<b>L 4.</b> The issues of legal basics of the monetary system. Monetary system of the Republic of Kazakhstan and its elements.	1	
	<b>LC 4.</b> Legal problems of currency regulation. Analysis of currency legislation.	2	7
5	<b>L 5.</b> The issues of legal basics of the financial structure of the state.	1	
	<b>LC 5.</b> The issues of legal basics of financial structure of the state and the legal foundations of financial regulation.	2	7
<b>MODULE 2 Legal regulation of management and control of public finances</b>			
6	<b>L 6.</b> Management in the field of public finance.	1	
	<b>LC 6.</b> The issues of the system, the powers of the bodies exercising management in the field of public finance.	2	7
	<b>SIWT 2. SIW 2</b> implementation advice.		
7	<b>L 7.</b> The issues of legal bases of financial planning.	1	
	<b>LC 7.</b> Stages of financial planning. Financial year. Financial period.	2	8
	<b>SIW 2</b> (form of debate). Topic: Current problems of the legal foundations of the monetary system.		25
<b>Midterm 1</b>			<b>100</b>
8	<b>L 8.</b> The issues of legal regulation of state financial control.	1	
	<b>LC 8.</b> The concept and elements of financial control.	2	8
9	<b>L 9.</b> The issues of financial and legal responsibility.	1	
	<b>LC 9.</b> Financial offense and its types. Differentiation of financial offenses.	2	7
	<b>SIWT 3.</b> Discussion of topical issues of economic and financial policy on the Message of the President of the Republic of Kazakhstan to the people of Kazakhstan (round table).		
<b>MODULE 3 Institutes of a special section of financial law</b>			
10	<b>L 10.</b> The issues of budget law is the main institution of financial law. The concept and general characteristics of budgetary law.	1	



**RUBRICATOR OF SUMMATIVE ASSESSMENT  
CRITERIA FOR ASSESSING LEARNING OUTCOMES**

**Student Independent Work1.** Topic: The issues of the concept and general characteristics of the financial activities of the state. Methods and forms of financial activity.

**Writing task. Subject: «Financial liability and financial offenses» (FC 25% out of 100% )**

Criteria	«Excellent» 20-25 %	«Good» 15-20%	«Satisfactory» 10-15%	«Unsatisfactory» 0-10%
<b>Understanding the general characteristics and types of financial and legal liability and financial offenses</b>	Deep understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Understand the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Limited understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Superficial understanding / misunderstanding of the concept and types of financial and legal liability and financial offenses. Relevant and relevant references (citations) to primary sources are not given.
<b>Understanding the main problems of financial wrongdoing</b>	The comparison of the legislation of the Republic of Kazakhstan regulating financial offenses connects very well. Ideal justification of arguments by the facts of empirical research (for example, based on comparative legal or statistical analysis).	Links the comparison of the legislation of the Republic of Kazakhstan, regulating financial offenses. Supports arguments with evidence from empirical research.	Communication in comparison of the legislation of the Republic of Kazakhstan regulating financial offenses is limited. Limited use of evidence from empirical studies.	In comparison with the legislation of the Republic of Kazakhstan regulating financial offenses, the connection is insignificant or absent. Little or no use of empirical research.
<b>Policy proposal or practical recommendations/suggestions</b>	Drawing up competent scientific and / or practical recommendations and recommendations related to the prevention of financial offenses in Kazakhstan.	Offers some considerations and / or practical recommendations and suggestions for the prevention of financial offenses in Kazakhstan.	Limited opinions and practical recommendations for the prevention of financial crime in Kazakhstan. The recommendations are not substantive, not based on careful analysis and are superficial.	There are few or no considerations and practical recommendations related to the prevention of financial crime in Kazakhstan, or recommendations of very low quality.
<b>Letter, APA style</b>	The writing demonstrates clarity, accuracy, and correctness. Strictly adheres to APA style.	The writing demonstrates clarity, accuracy, and correctness. Basically adheres to APA style.	There are some basic errors in the letter and clarity needs to be improved. There are errors in following the APA style.	It is not clear what is written, it is difficult to keep up with the content. There are many mistakes in following the APA style.

**Student Independent Work2** The issues of the system, the powers of the bodies exercising management in the field of public finance.

Criteria	«Excellent» 20-25 %	«Good» 15-20%	«Satisfactory» 10-15%	«Unsatisfactory» 0-10%
<b>Understanding the general characteristics and types of financial and legal liability and financial offenses</b>	Deep understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Understand the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Limited understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Superficial understanding / misunderstanding of the concept and types of financial and legal liability and financial offenses. Relevant and relevant references (citations) to primary sources are not given.
<b>Understanding the main problems of financial wrongdoing</b>	The comparison of the legislation of the Republic of Kazakhstan regulating financial offenses connects very well. Ideal justification of arguments by the facts of empirical research (for example, based on comparative legal or statistical analysis).	Links the comparison of the legislation of the Republic of Kazakhstan, regulating financial offenses. Supports arguments with evidence from empirical research.	Communication in comparison of the legislation of the Republic of Kazakhstan regulating financial offenses is limited. Limited use of evidence from empirical studies.	In comparison with the legislation of the Republic of Kazakhstan regulating financial offenses, the connection is insignificant or absent. Little or no use of empirical research.
<b>Policy proposal or practical recommendations/suggestions</b>	Drawing up competent scientific and / or practical recommendations and recommendations related to the prevention of financial offenses in Kazakhstan.	Offers some considerations and / or practical recommendations and suggestions for the prevention of financial offenses in Kazakhstan.	Limited opinions and practical recommendations for the prevention of financial crime in Kazakhstan. The recommendations are not substantive, not based on careful analysis and are superficial.	There are few or no considerations and practical recommendations related to the prevention of financial crime in Kazakhstan, or recommendations of very low quality.
<b>Letter, APA style</b>	The writing demonstrates clarity, accuracy, and correctness. Strictly adheres to APA style.	The writing demonstrates clarity, accuracy, and correctness. Basically adheres to APA style.	There are some basic errors in the letter and clarity needs to be improved. There are errors in following the APA style.	It is not clear what is written, it is difficult to keep up with the content. There are many mistakes in following the APA style.

**Student Independent Work 3.** Topic The issues of budget law is the main institution of financial law. The concept and general characteristics of budgetary law.

Criteria	«Excellent» 20-25 %	«Good» 15-20%	«Satisfactory» 10-15%	«Unsatisfactory» 0-10%
<b>Understanding the general characteristics and types of financial and legal liability and financial offenses</b>	Deep understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Understand the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Limited understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Superficial understanding / misunderstanding of the concept and types of financial and legal liability and financial offenses. Relevant and relevant references (citations) to primary sources are not given.
<b>Understanding the main problems of financial wrongdoing</b>	The comparison of the legislation of the Republic of Kazakhstan regulating financial offenses connects very well. Ideal justification of arguments by the facts of empirical research (for example, based on comparative legal or statistical analysis).	Links the comparison of the legislation of the Republic of Kazakhstan, regulating financial offenses. Supports arguments with evidence from empirical research.	Communication in comparison of the legislation of the Republic of Kazakhstan regulating financial offenses is limited. Limited use of evidence from empirical studies.	In comparison with the legislation of the Republic of Kazakhstan regulating financial offenses, the connection is insignificant or absent. Little or no use of empirical research.
<b>Policy proposal or practical recommendations/suggestions</b>	Drawing up competent scientific and / or practical recommendations and recommendations related to the prevention of financial offenses in Kazakhstan.	Offers some considerations and / or practical recommendations and suggestions for the prevention of financial offenses in Kazakhstan.	Limited opinions and practical recommendations for the prevention of financial crime in Kazakhstan. The recommendations are not substantive, not based on careful analysis and are superficial.	There are few or no considerations and practical recommendations related to the prevention of financial crime in Kazakhstan, or recommendations of very low quality.
<b>Letter, APA style</b>	The writing demonstrates clarity, accuracy, and correctness. Strictly adheres to APA style.	The writing demonstrates clarity, accuracy, and correctness. Basically adheres to APA style.	There are some basic errors in the letter and clarity needs to be improved. There are errors in following the APA style.	It is not clear what is written, it is difficult to keep up with the content. There are many mistakes in following the APA style.

#### Student Independent Work 4

Banking system of the Republic of Kazakhstan. Banking legislation of the Republic of Kazakhstan. Powers of the National Bank

Criteria	«Excellent» 20-25 %	«Good» 15-20%	«Satisfactory» 10-15%	«Unsatisfactory» 0-10%
<b>Understanding the general characteristics and types of financial and legal liability and financial offenses</b>	Deep understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Understand the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Limited understanding of the concept and types of financial and legal liability and financial offenses. Relevant and pertinent references (citations) to primary sources.	Superficial understanding / misunderstanding of the concept and types of financial and legal liability and financial offenses. Relevant and relevant references (citations) to primary sources are not given.
<b>Understanding the main problems of financial wrongdoing</b>	The comparison of the legislation of the Republic of Kazakhstan regulating financial offenses connects very well. Ideal justification of arguments by the facts of empirical research (for example, based on comparative legal or statistical analysis).	Links the comparison of the legislation of the Republic of Kazakhstan, regulating financial offenses. Supports arguments with evidence from empirical research.	Communication in comparison of the legislation of the Republic of Kazakhstan regulating financial offenses is limited. Limited use of evidence from empirical studies.	In comparison with the legislation of the Republic of Kazakhstan regulating financial offenses, the connection is insignificant or absent. Little or no use of empirical research.
<b>Policy proposal or practical recommendations/suggestions</b>	Drawing up competent scientific and / or practical recommendations and recommendations related to the prevention of financial offenses in Kazakhstan.	Offers some considerations and / or practical recommendations and suggestions for the prevention of financial offenses in Kazakhstan.	Limited opinions and practical recommendations for the prevention of financial crime in Kazakhstan. The recommendations are not substantive, not based on careful analysis and are superficial.	There are few or no considerations and practical recommendations related to the prevention of financial crime in Kazakhstan, or recommendations of very low quality.
<b>Letter, APA style</b>	The writing demonstrates clarity, accuracy, and correctness. Strictly adheres to APA style.	The writing demonstrates clarity, accuracy, and correctness. Basically adheres to APA style.	There are some basic errors in the letter and clarity needs to be improved. There are errors in following the APA style.	It is not clear what is written, it is difficult to keep up with the content. There are many mistakes in following the APA style.